# **Entire Application**

### Overview

Did you attend one of the workshops conducted by DHS's regional fire program specialist?

No, I have not attended workshop

\*Are you a member, or are you currently involved in the management, of the fire department or non-affiliated EMS organization applying for this grant with this application?

Yes, I am a member/officer of this applicant

If you are a grant writer or otherwise not affiliated with this applicant, please complete the information below.

If you are a member/officer of this applicant, please do **not** complete the information requested below. After you are finished press the *Save and Continue* button below.

Preparer Information

- \* Preparer's Name
- \* Address 1

Address 2

- \* City
- \* State
- \* Zip

### **Contact Information**

# Alternate Contact Information Number 1

`Title Fire Chief

Prefix N/A

First Name Michael

Middle Initial

`Last Name Wallace

<sup>7</sup> Business Phone 727-587-6714 Ext.

'Home Phone

Mobile Phone/Pager
Fax 727-587-6798

<sup>\*</sup>Email mwallace@largo.com

Alternate Contact Information Number 2

\* Title Deputy Fire Chief

Prefix N/A

\* First Name James

Middle Initial A

\*Last Name Warman

\* Business Phone 727-587-6714 Ext.

Mobile Phone/Pager

\*Home Phone

Fax 727-587-6798

\*Email jwarman@largo.com

# **Applicant Information**

EMW-2009-FO-11525

# Originally submitted on 05/20/2009 by Stephanie Waters

### **Contact Information:**

Address: 201 Higland Ave NE

City: Largo State: Florida Zip: 33770

Day Phone: 7275876727X4

Evening Phone: Cell Phone:

Email: grants@largo.com

# Application number is EMW-2009-FO-11525

\*Organization Name Largo Fire Rescue

\*Type of Applicant Fire Department/Fire District

\*Type of Jurisdiction Served City

If other, please enter the type of Jurisdiction

' Employer Identification Number

\* What is your organization's <u>DUNS Number</u>?

(call 1-866-705-5711 to get a DUNS

number)

# Headquarters or Main Station Physical Address

\* Physical Address 1 201 Highland Ave NE

Physical Address 2

\* City Largo

\* State Florida

33770 - 2512 \* Zip Need help for ZIP+4?

Mailing Address

Mailing Address 1 P.O. BOX 296

Mailing Address 2

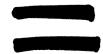
\* City Largo

\*State Florida

33779 - 0296 \*Zip Need help for ZIP+4?

Account Information

\*Type of bank account Checking \*Bank routing number - 9 digit number on the bottom left hand comer of your check



\*Your account number

#### Additional Information

\*For this fiscal year (Federal) is your organization receiving Federal funding from any other grant program that may duplicate the purpose and/or scope of this grant request?

No

'If awarded the AFG grant, will your organization expend more than \$500,000 in Federal funds during your organization's fiscal year in which this AFG grant was awarded?

Yes

'Is the applicant <u>delinquent on any Federal debt?</u>

No

If you answered yes to any of the additional questions above, please provide an explanation in the space provided below:

The City of Largo, Florida has applied for Federal funding from the US Dept of Justice for FY 2010, but has not yet been awarded. The City has also been selected for an over \$700,000 Energy Efficiency and Conservation Block Grant and receives CDBG funding.

# Department Characteristics (Part I)

Are you a member of a Federal Fire Department or contracted by the Federal government and solely responsible for suppression of fires on Federal property?	No
'What kind of organization do you represent?	All Paid/Career
If you answered combination, above, what is the percentage of career members in your organization?	%
If you answered volunteer or combination or paid on-call, how many of your volunteer Firefighters are paid members from another career department?	
*What type of community does your organization serve?	Urban
*What is the square mileage of your first-due response area?	30.5
*What percentage of your response area is protected by hydrants?	100 %
*In what county/parish is your organization physically located? If you have more than one station, in what county/parish is your main station located?	Pinellas
*Does your organization protect critical infrastructure of the state?	Yes
*How much of your jurisdiction's land use is for agriculture, wild land, open space, or undeveloped properties?	14 %
What percentage of your jurisdiction's land use is for commercial, industrial, or institutional purposes?	28 %
What percentage of your jurisdiction's land is used for residential purposes?	58 %
*How many occupied structures (commercial, industrial, residential, or institutional) in your jurisdiction are more than four stories tall?	61
* What is the permanent resident population of your <u>Primary/First-Due Response Area or jurisdiction served?</u>	103000

- \*How many active firefighters does your 120 department have who perform firefighting duties?
- · How many stations are operated by your organization?
- Do you currently report to the National Fire Incident Reporting System (NFIRS)?

If you answered yes above, please enter your FDIN/FDID



Yes

5

What services does your organization provide?

Structural Fire Suppression Wildland Fire Suppression

Medical First Response Basic Life Support Advanced Life Support

Hazmat Operational Level Hazmat Technical Level Rescue Operational Level Formal/Year-Round Fire Prevention Program Rescue Technical Level

# **Department Characteristics (Part II)**

	2008	2007	2006
What is the total number of fire-related civilian fatalities in your jurisdiction over the last three years?	0	1	2
* What is the total number of fire-related civilian injuries in your jurisdiction over the last three years?	25	29	16
• What is the total number of line of duty member fatalities in your jurisdiction over the last three years?	0	0	0
What is the total number of line of duty member injuries in your jurisdiction over the last three years?	47	53	32
<ul> <li>Over the last three years, what was your organization's average operating budget?</li> </ul>	1297543		
What percentage of your TOTAL budget is dedicated to personnel costs (salary, overtime and fringe benefits)?	85.09 %		
*What percentage of your annual operating budget is derived Enter numbers only, percentages must sum up to 100%	d from:		
Taxes?	44 %		
Grants?	0 %		
Donations?	0 %		
Fund drives?	0 %		
Fee for Service?	6 %		
Other?	50 %		
If you entered a value into Other field (other than 0), please	County EMS 25% Sales Tax Fund	•	•

explain

4%

<sup>·</sup> How many vehicles does your organization have in each of the types or class of vehicle listed below? You must include vehicles that are leased or on long-term loan as well as any vehicles that have been ordered or otherwise currently under contract for purchase or lease by your organization but not yet in your possession. Enter numbers only and enter 0 if you do not have any of the vehicles below.

Type or Class of Vehicle	Total Number	Total Number of Riding Positions
Engines or Pumpers (pumping capacity of 750 gpm or greater and water capacity of 300 gallons or more): Pumper, Pumper/Tanker, Rescue/Pumper, Foam Pumper, CAFS Pumper, Quint (Aerial device of less than 76 feet), Type I Engine, Type II Engine, Type II/III Wildland/Urban Interface	10	40
Tankers (pumping capacity of less than 750 gallons per minute (gpm) and water capacity of 1,000 gallons or more): Tanker, Tender, Tanker/Tender	0	0

Aerial Apparatus: Aerial Ladder Truck, Telescoping, A Truck, Quint (Aerial device of 76 fee	Articulating, Ladder Towers, Platform, Tiller Ladder t or greater)	1	4
and water carrying capac Brush Truck, Patrol Unit (Pickup w	ing capacity of less than 750 gpm ity of at least 300 gallons); Skid Unit), Quick Attack Unit, Mini-Pumper, Type Ingline, Type VI Engine, Type VII Engine	1	2
Rescue Vehicles: Rescue Squad, Rescue (Light, Med Hazardous Malerials Unit	fium, Heavy), Technical Rescue Vehicle,	8	21
(Command, Operational Support/Sup	Rehab Units, Bomb Unit, Technical Support oply), Hose Tender, Salvage Truck, ARFF mand/Mobile Communications Vehicle, Other	3	6

# Department Call Volume

<			
	2008	2007	2006
'How many responses per year by cate	gory? (Enter whole numbe	rs only. If you have no calls for	any of the categories, enter 0)
Working Structural Fires	300	208	241
False Alarms/Good Intent Calls	798	818	836
Vehicle Fires	43	62	71
Vegetation Fires	29	42	55
EMS-BLS Response Calls	0	0	0
EMS-ALS Response Calls	13252	15119	15170
EMS-BLS Scheduled Transports	0	0	0
EMS-ALS Scheduled Transports	0	0	0
Vehicle Accidents w/o Extrication	1164	1053	1492
Vehicle Extrications	61	37	74
OtherRescue	0	0	0
Hazardous Condition/Materials Calls	330	317	364
Service Calls	426	759	732
Other Calls and Incidents	2904	1945	1204
Total	19307	20360	20239
What is the total acreage of all vegetation fires?	0	0	0
In a particular year, how many times does your organization receive mutual/automatic aid?	203	256	342
In a particular year, how many times does your organization provide mutual/automatic aid? (Please indicate the number of times your department provides or receives mutual	768	713	859

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aid. Do not include first-due responses claimed above.)

#### Request Information

1. Select a program for which you are applying. You can apply for as many activities within a program as you need. If you are interested in applying under both Vehicle Acquisition and EMS Operations and Safety, and/or regional application you will need to submit separate applications.

Program Name

# Operations and Safety

• 2. Will this grant benefit more than one organization?

#### No

If you answered Yes to Question 2 above, please explain.

\* 3. Enter Grant-writing fee associated with the preparation of this request. Enter 0 if there is no fee.

\$0

# **Request Details**

The activities for program Operations and Safety are listed in the table below.

Activity	Number of Entries	Total Cost	Additional Funding
Equipment	0	\$ 0	\$ 0
Modify Facilities	0	\$ 0	\$ 0
Personal Protective Equipment	1	\$ 346,500	\$ 0
Training	0	\$ 0	\$ 0
Wellness and Fitness Programs	0	\$ 0	\$ 0

Grant-writing fee associated with the preparation of this request. \$0

#### Personal Protective Equipment

# Personal Protective Equipment Details

No

- 1\*. What percent of your active firefighters are trained to the 100 % (whole number only) level of Firefighter I?
- 2. What percentage of your active firefighters are trained to 100 % (whole number only) the level of Firefighter II?
- 3. If you answered less than 100% to either question above, are you requesting for training funds in this application to bring 100% of your firefighters into compliance with NFPA 1001?

If you've indicated that less than 100% of your firefighters are trained to the Firefighter II level and you are not asking for training funds in this application, please describe in the

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namative section of this application your training program and your plans to bring your membership up to Firefighter II.

4\*. Select the PPE that you propose to acquire

Please provide further description of the item selected above or if you selected Other above, please specify.

80 sets of 45 minute SCBA with face piece and one extra bottle per set.

SCBA--45 minutes with face piece-With extra bottle

80 sets of 45 minute SCBA with face piece and one extra bottle per set, meeting most current standards and regulations

80 sets x \$5312.50 per set = \$425,000

66 (whole number only)

\$5250 (whole dollar amounts only)

5\*. Number of units

6\*. Cost per unit

7 \*.

- For turnout requests, what percentage of your on-duty active members will have PPE that meets applicable NFPA and OSHA standards if this grant is awarded?
- If you are requesting new SCBA, what percentage of your seated riding positions will have complaint SCBA assigned to it if this grant is awarded?
- If you are asking for specialized PPE (e.g., HazMat), what percentage of applicable members will have specialized PPE that meets established standards if this grant is awarded?
- 8\*. What is the purpose of this request?

If you have indicated you are requesting PPE (any PPE other than SCBA) in the Question above, what are the specific ages of your equipment in years? If requesting SCBA, click on "n/a", do not provide PPE ages here but continue on to the next question. Please assure that you've accounted for ALL gear for ALL members declared in Department Characteristics - not just the gear you wish to replace.

to replace worn, but usable equipment



100%

Age (in Years)	# of Items
Less than 1	
1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12 or more	

If you have indicated you are requesting SCBA in the Question above, to which edition(s) of NFPA are your SCBA complaint? If not requesting SCBA, please click on "n/a" and continue on to the next question. Please account for ALL SCBA currently in your department's inventory - not just the equipment you wish to replace.

#	of	members	with	no	gear
---	----	---------	------	----	------

n/a

Year	# of NFPA compliant SCBA
2007 Standard	
2002 Standard	80
1997	

Standard Older Standards

9\*. Is this PPE:

For some other use

If you selected Other above, please specify.

For protection use against fire and in

HAZMAT response

10\*. Will this equipment be used for wildland firefighting purposes?

No Yes

11\*. Is your department trained in the proper use of the equipment being purchased with grant funds?

If not, will you be asking for training funds for this purpose with this application or will you obtain the appropriate

training through other sources?

Yes

# **Budget**

Budget Object Class	
a. Personnel	\$ 0
b. Fringe Benefits	\$ 0
c. Travel	\$ 0
d. Equipment	\$ 346,500
e. Supplies	\$ 0
f. Contractual	\$ 0
g. Construction	\$ 0
h. Other	\$ 0
i. Indirect Charges	\$ 0

# Federal and Applicant Share

Federal Share	\$ 277,200
Applicant Share	\$ 69,300
Federal Rate Sharing (%)	80/20
* Non-Federal Resources (The contined Non-Federal Resources must equal the Applicant Share of \$ 69,300)	
a. Applicant	\$ 69,300
b. State	\$ 0
c. Local	\$ 0
d. Other Sources	\$ 0

If you entered a value in Other Sources other than zero (0), include your explanation below. You can use this space to provide information on the project, cost share match, or if you have an indirect cost agreement with a federal agency.

The system required whole numbers only for the request, however we estimate that each of the 80 sets will cost \$5312.50 for a total of \$425,000. The local match is \$85,000 and the request amount is \$340,000.

Total Budget \$ 346,500

#### Narrative Statement

### Project Description

\* Please indicate which of these Target Capabilities your request outlined in this application will satisfy. Check all that apply:

Responder Safety and Health Firefighting Operations/Support Hazardous Materials Response Emergency Medical Services

\* Please provide your narrative statement in the space provided below. Include in your narrative, details regarding (1) your project's description and budget, (2) your organization's financial need, (3) the benefit to be derived from the cost of your project, and (4) how the activities requested in your application will help your organization's daily operations and how this grant will protect life and property.

**Project Description** 

The City of Largo is located in west, central Florida in Pinellas County between the Tampa Bay and the Gulf of Mexico. While the City itself has a population of roughly 75,000, the Largo Fire District encompasses 30.5 square miles with a population of 103,000 and growing. The Largo Fire Rescue Department strives to meet the needs of the community by responding to requests for assistance within 7 and 1/2 minutes at least 90% of the time by: ensuring all personnel are trained and competent in the latest technology, methods and techniques; providing community-based education and awareness in the areas of home, workplace and personal safety; by assertively enforcing fire and life safety codes through building inspections and plan reviews; and, maintaining the Department's ability to prepare and respond to the needs of citizens during a crisis. Professionally trained personnel provide fire protection, emergency medical services, hazardous materials response and mitigation, technical rescue operations, fire prevention, community education and information, and disaster planning services. The department is internationally accredited through the Commission on Fire Accreditation International and is rated an ISO Class 3 department by the Insurance Services Office.

In order to provide such services to the community, Largo Fire Rescue relies upon the 120 brave men and women we call firefighters who serve our community from five stations and a headquarters located at City Hall. In order to protect our firefighters, and therefore the community, Largo Fire Rescue is requesting funding for the purchase of 80 sets of 45 minute Self-contained Breathing Apparatus (SCBA) with an extra bottle per set. Based on recent quotes, Largo estimates the total cost for the proposed SCBA equipment will be \$425,000, with Largo providing \$85,000 (20% match) and requesting \$340,000 from the Assistance to Firefighters Grant program.

Largo Fire Rescue currently has 80 SCBA sets which meet the 2002 edition of the NFPA 1981 standards. However, the current sets are Survivair brand which has come under recent scrutiny for multiple deficiencies, including defects involving Personal Alert Safety System (PASS) failure resulting in firefighter fatalities. PASS is a vital tool in ensuring the safety and well being of our firefighters. A properly working PASS gives the firefighter confidence that should they encounter a problem they can be easily located, assisted and live to fight another day. Many departments across the country who used Survivair brand SCBA have reported air gauge failures, sticking valves and sudden loss of pressure causing the user to have to remove the SCBA and expose themselves to dangerous fumes and toxins. Failure of such devices, and the fear of failure of such devices, can be devastating to a company and community. Confidence in one's equipment, team and lifelines is critical to the successful completion

of a mission. If a firefighter is second guessing themselves or fearful of an equipment failure, confidence is lost and the mission can be compromised.

#### Financial Need

The City of Largo has experienced losses of funding due to declining property values and the deteriorating economy resulting in a decrease in property tax and sales tax revenues. The State of Florida itself is in the midst of a financial crisis which resulted in the cutting of funding for several programs, and the imposing of unfunded mandates upon municipalities. In addition, recent changes to state law have altered the manner in which property taxes are calculated further decreasing the available revenue. The City's unemployment rate has risen 210% in the past 12 months and is 8% higher than the statewide average. Largo has persistently worked over the past two years to reduce operating expenditures, cut optional programs and reduce staff size in response to these budgetary pressures. Largo Fire Rescue has actively pursued revenue opportunities including working as contracted fire service for local municipalities, and is working with the union to help mitigate the impact existing agreements will have on the overall budget. As of fiscal year 2009, Largo Fire Rescue constituted 10.654% of the total budget for the City of Largo. The decline in revenue, coupled with the financial distress in which our county and state find themselves, leaves the City unable to budget for replacement of SCBA.

Largo Fire Rescue is committed to retaining the current level of service to the community by holding firefighting personnel numbers at current levels and maintaining a comprehensive training program to ever improve our efficiencies and effectiveness. However maintaining such service is costly, personnel expenses alone account for over 85% of the entire Largo Fire Rescue budget. While in the last year call volume has dropped by about 5%, structure fires have increase by 30.6% with the operating budget only increasing by 1.87%. The City is unable to afford the purchase of SCBA replacement equipment without the financial support of the Assistance to Firefighters Grant program, and would have to continue efforts to secure an alternative funding source.

#### Cost/Benefit

The City of Largo responds to an average of 52.8 calls per day of which an average of 1.92 per day require the use of SCBA. In addition, Largo Fire Rescue responds to an average of 780 incidents on the basis of mutual and automatic aid annually. This SCBA will improve the service provided to the community and reduce the health and safety risks to our firefighters. Currently, the questionable nature of the existing SCBA equipment causes our firefighters to take a greater personal risk than is necessary. Replacement of this equipment will allow our firefighters to focus on the emergency situations at hand with renewed confidence in their equipment, their lifeline.

Largo's population contains about 30% elderly citizens, many of which live in assisted living facilities, nursing homes and senior mobile home communities. These residents are more likely to require a firefighter to physically enter a structure to rescue them. The SCBA will allow protection of firefighters while they take such risks, helping to further mitigate the situation and protect our most vulnerable citizens.

Largo and its fire district are home to facilities and industries which use large amounts of chemicals and/or contain explosions risks. Some businesses included in the fire district are the Honeywell Aerospace Engineering and Research facility, the Largo Wastewater Treatment Plant (which utilizes mass quantities of chlorine, ethanol and sodium dioxide) and soon the proposed Pinch-a-Penny (pool chemical supply) warehouse manufacturing plant. As Largo Fire Rescue is also the HAZMAT response

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unit for the area, the utilization of reliable SCBA will further improve our ability to ensure the safety of the community by responding quickly and without hesitation to such threats. Due to Largo's location between Tampa Bay and the Gulf of Mexico, within the most highly densely populated county in the state, the ability to quickly and confidently respond to a HAZMAT related threat protects many more people than the 103,000 in the fire district. Over 2 million people live in Pinellas and Hillsborough counties and benefit from Largo Fire Rescue's ability to quickly respond to such threats.

#### Statement of Effect

Confident, cautious and effective firefighters keep communities safe. In order for firefighters to battle the flames and fumes of an engaged situation, they must be able to breath and communicate easily, and trust their equipment and team to come through. The current SCBA does not instill confidence in our firefighters and their safety is paramount to the safety of our community. Sticking valves, underperforming air gauges and malfunctioning PASS are a detriment to our community. The reputation associated with our existing SCBA causes low confidence in its users. Replacement SCBA will increase department morale.

Firefighters already take a great risk in doing their jobs everyday, replacement of this SCBA will increase their protection and help to mitigate the risks from exposure to such extreme conditions. Reliable PASS will help us take care of our own and will give the families of our firefighters better peace of mind knowing if something goes wrong there is a way to find and assist their loved one.

Our firefighters are relied upon daily to protect the 100,000 plus citizens of Largo and the surrounding area. We are called upon over 700 times a year to assist other communities, play a vital role in HAZMAT operations and, due to our location, assist in the protection of the over 2 million people in Hillsborough and Pinellas counties.

In addition to the everyday benefits this SCBA will bring to Largo, we must also keep in mind the natural disaster risk potential which is so high in Florida and the role proper SCBA plays in responding to such disasters. Humicanes, tomadoes and wildfires are very common occurrences to which we must respond, as a community, a state and a country. Largo can be confident in the safety, healthy and well-being of its firefighters in assisting others during such disasters with reliable SCBA equipment.

In such tough financial times, Largo has struggled to maintain our current level of service to the community and works hard everyday to improve those services. With the high cost of personnel and general operating expenses, the SCBA equipment gets pushed back further and further along priority lists. The Assistance to Firefighters Grant program will protect our firefighters and our community by providing funding for this SCBA equipment, and we thank you for taking time to consider our request.

\* Please describe all grants that you have received from DHS including any AFG grants received from DHS or FEMA, for example, 2002 AFG grant for vehicle or 2003 ODP grant for exercises. (Enter "N/A" if Not Applicable)

N/A

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#### **Assurances and Certifications**

#### Form 20-16A

You must read and sign these assurances by providing your password and checking the box at the bottom of this page.

Note: Fields marked with an \* are required.

#### Assurances Non-Construction Programs

**Note:** Certain of these assurances may not be applicable to your project or program. If you have any questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant I certify that the applicant:

- 1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
- 2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
- 3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal gain.
- 4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
- 5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. Section 4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
- 6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. Sections 1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. Section 794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. Sections 6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) Sections 523 and 527 of the Public

Health Service Act of 1912 (42 U.S.C. 290-dd-3 and 290-ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Acts of 1968 (42 U.S.C. Section 3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

- 7. Will comply, or has already complied, with the requirements of Title II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or Federally assisted programs. These requirements apply to all interest in real property acquired for project purposes regardless of Federal participation in purchases.
- 8. Will comply with provisions of the Hatch Act (5 U.S.C. Sections 1501-1508 and 7324-7328), which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
- 9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. Sections 276a to 276a-7), the Copeland Act (40 U.S.C. Section 276c and 18 U.S.C. Sections 874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. Sections 327-333), regarding labor standards for Federally assisted construction sub agreements.
- 10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
- 11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in flood plains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. Section 1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. Section 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).
- 12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. Section 1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
- 13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. 470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. 469a-1 et seq.).

- 14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
- 15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. 2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
- 16. WII comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. Section 4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.
- 17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984.
- 18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program.
- 19. It will comply with the minimum wage and maximum hours provisions of the Federal Fair Labor Standards Act (29 U.S.C. 201), as they apply to employees of institutions of higher education, hospitals, and other non-profit organizations.

Signed by Stephanie Waters on 05/20/2009

#### Form 20-16C

You must read and sign these assurances by providing your password and checking the box at the bottom of this page.

Note: Fields marked with an \* are required.

Certifications Regarding Lobby ing, Debarment, Suspension and Other Responsibility Matters and Drug-Free Workplace Requirements.

Applicants should refer to the regulations cited below to determine the certification to which they are required to attest. Applicants should also review the instructions for certification included in the regulations before completing this form. Signature on this form provides for compliance with certification requirements under 44 CFR Part 18, "New Restrictions on Lobbying; and 44 CFR Part 17, "Government-wide Debarment and Suspension (Non-procurement) and Government-wide Requirements for Drug-Free Workplace (Grants)." The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Homeland Security (DHS) determines to award the covered transaction, grant, or cooperative agreement.

# 1. Lobbying

A. As required by the section 1352, Title 31 of the US Code, and implemented at 44 CFR Part 18 for persons (entering) into a grant or cooperative agreement over \$100,000, as defined at 44CFR Part 18, the applicant certifies that:

- (a) No Federal appropriated funds have been paid or will be paid by or on behalf of the undersigned to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of congress, or an employee of a Member of Congress in connection with the making of any Federal grant, the entering into of any cooperative agreement and extension, continuation, renewal amendment or modification of any Federal grant or cooperative agreement.
- (b) If any other funds than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of congress, or an employee of a Member of Congress in connection with this Federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities", in accordance with its instructions.
- (c) The undersigned shall require that the language of this certification be included in the award documents for all the sub awards at all tiers (including sub grants, contracts under grants and cooperative agreements and sub contract(s)) and that all sub recipients shall certify and disclose accordingly.
- 2. Debarment, Suspension and Other Responsibility Matters (Direct Recipient)

A. As required by Executive Order 12549, Debament and Suspension, and implemented at 44CFR Part 67, for prospective participants in primary covered transactions, as defined at 44 CFR Part 17, Section 17.510-A, the applicant certifies that it and its principals:

(a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of Federal benefits by a State or Federal court, or voluntarily excluded from covered transactions by any Federal department or agency.

- (b) Have not within a three-year period preceding this application been convicted of or had a civilian judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain or perform a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property.
- (c) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification: and
- (d) Have not within a three-year period preceding this application had one or more public transactions (Federal, State, or local) terminated for cause or default; and
- B. Where the applicant is unable to certify to any of the statements in this certification, he or she shall attach an explanation to this application.
- 3. Drug-Free Workplace (Grantees other than individuals)

As required by the Drug-Free Workplace Act of 1988, and implemented at 44CFR Part 17, Subpart F, for grantees, as defined at 44 CFR part 17, Sections 17.615 and 17.620:

- (A) The applicant certifies that it will continue to provide a drug-free workplace by:
  - (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

    (b) Establishing an on-going days free awareness program to inform
  - (b) Establishing an on-going drug free awareness program to inform employees about:
    - (1) The dangers of drug abuse in the workplace;
    - (2) The grantees policy of maintaining a drug-free workplace;
    - (3) Any available drug counseling, rehabilitation and employee assistance programs; and
    - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
  - (c) Making it a requirement that each employee to be engaged in the performance of the grant to be given a copy of the statement required by paragraph (a);
  - (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will:
    - (1) Abide by the terms of the statement and
    - (2) Notify the employee in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction.
  - (e) Notifying the agency, in writing within 10 calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must

provide notice, including position title, to the applicable DHS awarding office, i.e. regional office or DHS office.

- (f) Taking one of the following actions, against such an employee, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted:
  - (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for
  - drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement or other appropriate agency.
- (g) Making a good faith effort to continue to maintain a drug free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).
- (B) The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of F	'erformance
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Street City State Zip Action

If your place of performance is different from the physical address provided by you in the Applicant Information, press *Add Place of Performance* button above to ensure that the correct place of performance has been specified. You can add multiple addresses by repeating this process multiple times.

Section 17.630 of the regulations provide that a grantee that is a State may elect to make one certification in each Federal fiscal year. A copy of which should be included with each application for DHS funding. States and State agencies may elect to use a Statewide certification.

Signed by Stephanie Waters on 05/20/2009

# **FEMA Standard Form LLL**

Only complete if applying for a grant for more than \$100,000 and have lobbying activities. See Form 20-16C for lobbying activities definition.

This form is not applicable